

Please type a plus sign (+) inside this box ☒

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

Attorney Docket No.

ASP-41

First Inventor

Paul Jacobs

Title

APPARATUS AND PROCESS FOR CONCENTRATING A  
LIQUID STERILANT AND STERILIZING ARTICLES  
THEREWITH

Express Mail Label No.

EL691440955US

Only for new nonprovisional applications under 37 CFR  
1.53(b)

**APPLICATION ELEMENTS**

See MPEP Chapter 600 concerning utility patent application  
contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
3. ☒ Specification [Total Pages 18]  
(Preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table, or a  
computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets 8]
5. Oath or Declaration [Total Pages 3]
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

18. ☐ If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a  
preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP) of prior application No.: 09/223,479, filed  
December 30, 1998. Prior application information: Examiner E. McKane Group Art Unit: 1744  
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an  
oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying  
continuation or divisional application and is hereby incorporated by reference. The incorporation can only be  
relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

☒ Customer Number or Bar Code Label **000027777** or ☐ Correspondence Address below

Name: Philip S. Johnson, Esq.

Address: Johnson & Johnson  
One Johnson & Johnson Plaza  
New Brunswick, NJ 08933-7003 USA

**20. TELEPHONE CONTACT**

Please direct all telephone calls or telefaxes to Andrew C. Farmer at:

Telephone: (732) 524-2825 Fax: (732) 524-2808

**21. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

NAME Andrew C. Farmer

Reg. No. 35,868

SIGNATURE

DATE October 11, 2001

ADDRESS TO: Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence  
Submission (if applicable, all necessary)

a. ☐ Computer Readable Form (CRF)

b. ☐ Specification Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); or

ii. ☐ paper

c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))

10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)

11. ☐ English Translation Document (if applicable)

12. ☐ Information Disclosure Statement

(IDS)/PTO-1449 ☐ Copies of IDS Citations

13. ☒ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

16. ☐ Request and Certifications under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form  
PTO/SB/35 or its equivalent.

17. ☐ Other

10/11/01

09975714-101101

U.S. PTO  
09/223,479

10/11/01

<b>FEE TRANSMITTAL</b>	<i>Complete if Known</i>	
	Application Number	
	Filing Date	
	First Named Inventor	Paul Jacobs
	Group Art Unit	1744
	Examiner Name	E. McKane
	Attorney Docket Number	ASP-41

### FEE CALCULATION

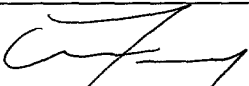
#### CLAIMS AS FILED

(1)	(2)	(3)	(4)	(5)
FOR:	NUMBER FILED	NUMBER EXTRA	RATE	BASIC FEE \$710.00
TOTAL CLAIMS	20 - 20 =	0	x 18.00	\$ 0.00
INDEPENDENT CLAIMS	1 - 3 =	0	x 80.00	\$ 0.00
MULTIPLE DEPENDENT CLAIMS	<input type="checkbox"/>	N/A	\$270.00	
			<b>TOTAL FEES</b>	<b>\$ 710.00</b>

### METHOD OF PAYMENT

☒ Please charge Deposit Account No. 10-0750/ASP41/ACF in the amount of \$710.00.  
Three copies of this sheet are enclosed.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the filing of this communication, or credit any overpayment, to Account No. 10-0750/ASP41/ACF. Three copies of this sheet are enclosed.

<b>SUBMITTED BY:</b>		<i>Complete (if applicable)</i>
Typed or Printed Name	Andrew C. Farmer	Reg. No. 35,868
Signature		<b>Deposit Account No. 10-0750</b>
	Date: October //, 2001	

FOI b7 - 1, 2, 5, 6, 9

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Paul Jacobs, Szu-Min Lin and Jenn-Hann Wang

For: APPARATUS AND PROCESS FOR CONCENTRATING A LIQUID STERILANT  
AND STERILIZING ARTICLES THEREWITH

Express Mail Certificate

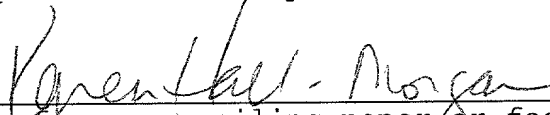
"Express Mail" mailing number EL691440955US

Date of Deposit October 11, 2001

I hereby certify that this Continuation Application of prior application No. 09/223,479, filed December 30, 1998 (JJM-408), including specification pages, claims, drawings, Declaration and Power of Attorney(signed, from previous application), Preliminary Amendment and Transmittal Letter is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to BOX PATENT APPLICATION, Assistant Commissioner of Patent and Trademarks, Washington, DC 20231

Karen Hall-Morgan

(Typed or printed name of person mailing paper or fee)



(Signature of person mailing paper or fee)

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